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281—56.13(259) Case diagnosis. The case diagnosis constitutes a comprehensive study of the client, including medical as well as a vocational diagnosis of the individual. Each case diagnosis is based on pertinent information, including the individual's health and physical status, intelligence, educational background and achievements, vocational aptitudes and interests, employment experience and opportunities, and personal and social adjustments.

56.13(1) *Medical diagnosis.*

- a. As a basis for determination of eligibility and formulation of the individual's rehabilitation plan, the division secures competent medical diagnosis. When necessary, the diagnosis is, if at all practicable, secured from recognized specialists in specific fields indicated by the general medical diagnosis. Whenever possible the diagnosis is accompanied by recommendations as to the means and methods of restoration and by a statement of any physical or mental limitations that may exist.
- b. The division accepts a medical report in lieu of securing a new examination when the report can be relied upon to provide a sound basis for diagnosis of the physical or mental condition of the individual and is from one of the following providers or sources:
 - (1) A licensed physician or surgeon;
 - (2) A licensed osteopathic physician or surgeon;
 - (3) A licensed doctor of chiropractic;
 - (4) A licensed psychologist;
 - (5) A licensed physician assistant;
 - (6) A licensed advanced registered nurse practitioner;
- (7) A native healing practitioner recognized as such by an Indian tribe when services are being provided to American Indians with disabilities and the native healing practitioner services are necessary to achieve the individual's vocational rehabilitation objective;
 - (8) A licensed dentist;
 - (9) A licensed ophthalmologist;
 - (10) A licensed audiologist;
 - (11) A licensed independent social worker (LISW);
 - (12) A licensed mental health counselor;
 - (13) A certified school psychologist;
- (14) A recent individualized education program (IEP) as recognized by the Iowa department of education which documents a history of special education programs or services; or
- (15) An accredited or certified medical or treatment institution recognized by the state of Iowa or licensed by the department of public health or department of human services in any other state.
- **56.13(2)** *Vocational diagnosis.* The methods of the vocational diagnosis include counseling interviews with the client; reports as may be needed, including when necessary in the individual case, reports from schools, employers, social agencies, and others; and psychological information.
- **56.13(3)** Recording case data. The division maintains a record for each case. The case record contains pertinent case information including, as a minimum, the basis for determination of eligibility, the basis justifying the plan of services and the reason for closing the case together with a justification of the closure. A case record may not be destroyed until three years after the case has been closed.